

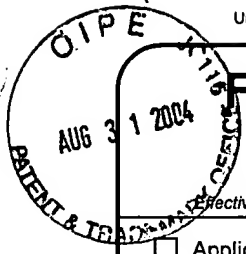
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PTO/SB/17 (10-03)

15-2121

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete If Known

| | |
|----------------------|-------------------|
| Application Number | 09/868,682 |
| Filing Date | September 4, 2001 |
| First Named Inventor | Nichols |
| Examiner Name | Hiri |
| Art Unit | 2121 |
| Attorney Docket No. | 05222.00159 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit Account Number 19-0733

Deposit Account Name Banner & Witcoff, LTD.

The Director is authorized to: (check all that apply)
☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

| 1. BASIC FILING FEE | | Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | | | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | | | Design filing fee | |
| 1003 | 530 | 2003 | 265 | | | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | | | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | | | Provisional filing fee | |
| SUBTOTAL (1) | | | | | | | (\$) 0 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|--------------|----------------|----------|
| Total Claims | | 0 | | 0 |
| Independent Claims | | 0 | | 0 |
| Multiple Dependent | | | | 0 |

| Large Entity | | Small Entity | | <u>Fee Description</u> |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | | | (\$) <div>0</div> |

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|-----------------------------------|----------|--------------|----------|--|-----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive – unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | 180 |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | |
| SUBTOTAL (3) | | | | | (\$) 180 |

| | | | | | |
|-------------------|--------------------------|-----------------------------------|--------------------------|-----------|-----------------|
| SUBMITTED BY | | | Complete (if applicable) | | |
| Name (Print/Type) | Kenneth F. Smolik | Registration No. (Attorney/Agent) | 44,344 | Telephone | 312-463-5000 |
| Signature | <i>Kenneth F. Smolik</i> | | | Date | August 31, 2004 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | | |
|---|-----------------------------|--|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/868,682 |
| | Filing Date | September 4, 2001 |
| | First Named Inventor | Nichols |
| | Group Art Unit | 2121 |
| | Examiner Name | Hirl |
| Total Number of Pages in This Submission | | Attorney Docket Number 05222.00159 |

ENCLOSURES (check all that apply)

| | | | | |
|--|---|--|----------------|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Supplemental Form PTO/SB08a 93 References Certificate of Express Mail Return Receipt Requested | | |
| <table border="1"> <tr> <td>Remarks</td> <td> The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed. Express Mail No. EV 306401186 US </td> </tr> </table> | | | Remarks | The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed. Express Mail No. EV 306401186 US |
| Remarks | The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed. Express Mail No. EV 306401186 US | | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|--------------------------------|---|
| Firm or Individual name | Kenneth F. Smolik Banner & Witcoff, Ltd. |
| Signature | <i>Kenneth F. Smolik</i> |
| Date | August 31, 2004 |

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CERTIFICATE OF EXPRESS MAIL
(PATENT)

Attorney Docket No. 05222.00159

Express Mail No. EV 306401186 US
Deposited August 31, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: _____

Nichols, U.S. Patent Application No. 09/868,682 for "A GOAL BASED SYSTEM UTILIZING AN ACTIVITY TABLE"

- Transmittal Form (in duplicate)
- Fee Transmittal (in duplicate)
- Supplement Information Disclosure Statement (8 pages)
- Supplemental PTO/SB/08a (4 pages)
- 93 References
- Return Receipt Postcard



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 05222.00159)

In re U.S. Patent Application of Nichols, et.)
al.)
Application No. 09/868,682) Examiner: Hirl
Filed: September 4, 2001) Group Art Unit: 2121
For: A GOAL BASED SYSTEM UTILIZING)
AN ACTIVITY TABLE)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR §1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

The Commissioner is authorized to charge the fees associated with this paper to Deposit Account No. 19-0733.

Under 37 CFR §1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made.

Under 37 CFR §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

The present patent application is related to the following co-pending patent applications:

| | | | | |
|------------|------------|------------|------------|------------|
| 09/502,348 | 09/218,977 | 09/218,726 | 09/868,664 | 09/868,713 |
| 09/219,055 | 09/218,749 | 09/218,995 | 09/868,669 | 09/868,667 |
| 09/219,080 | 09/219,479 | 09/218,945 | 09/868,693 | 10/008,265 |
| 09/305,719 | 09/219,524 | 09/221,608 | 09/868,698 | 10/018,062 |
| 09/219,480 | 09/219,477 | 09/629,367 | 09/868,678 | 09/934,924 |
| 09/218,968 | 09/219,481 | 09/502,349 | 09/868,686 | 09/935,219 |
| 09/221,217 | 09/219,088 | 09/219,478 | 09/868,689 | 09/935,348 |
| 09/218,741 | 09/219,201 | 09/887,188 | 09/868,694 | |
| 09/502,142 | 09/219,079 | 10/007,824 | 09/868,752 | |
| 09/219,086 | 09/218,906 | 09/887,824 | 09/868,708 | |
| 09/221,138 | 09/218,976 | 09/887,947 | 09/868,743 | |

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2. EP 0 710 942 A2, published 05/08/96
3. EP 0 798 655 A2, published 10/01/97
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6. WO 98/25251, published 06/11/98
7. WO 98/32109, published 07/23/98

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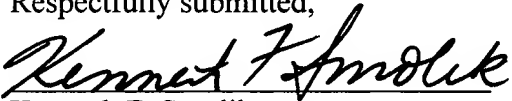
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